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| APPLICATION NO.   | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-------------|----------------------|---------------------|------------------|
| 10/573,223  | 03/23/2006  | Patrick Colin Hickey | RGC-LUX-P2          | 4983             |
| 44702 7590 03/04/2008<br>OSTRAGER CHONG FLAHERTY & BROITMAN PC<br>570 LEXINGTON AVENUE<br>FLOOR 17<br>NEW YORK, NY 10022-6894 |             |                      | EXAMINER            |                  |
|   |             |                      | FORMAN, BETTY J     |                  |
|   |             |                      | ART UNIT            | PAPER NUMBER     |
|   |             |                      | 1634                |                  |
|   |             |                      |                     |                  |
|   |             |                      | MAIL DATE           | DELIVERY MODE    |
|   |             |                      | 03/04/2008          | PAPER            |

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|  | Application No.   | Applicant(s)          |  |  |  |  |
|--|---|-----------------------|--|--|--|--|
| Office Action Summers  | 10/573,223  | HICKEY, PATRICK COLIN |  |  |  |  |
| Office Action Summary  | Examiner  | Art Unit              |  |  |  |  |
|  | BJ Forman   | 1634                  |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address<br>Period for Reply  |   |                       |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                       |  |  |  |  |
| Status   |   |                       |  |  |  |  |
| 1) Responsive to communication(s) filed on   |   |                       |  |  |  |  |
|  | action is non-final.  |                       |  |  |  |  |
| <i>,</i>   |   |                       |  |  |  |  |
| closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  |   |                       |  |  |  |  |
|  |   |                       |  |  |  |  |
| Disposition of Claims  |   |                       |  |  |  |  |
|  | )⊠ Claim(s) <u>1-38</u> is/are pending in the application.                  |                       |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.   |   |                       |  |  |  |  |
| 5) Claim(s) is/are allowed.  |   |                       |  |  |  |  |
| 6)☐ Claim(s) is/are rejected.  |   |                       |  |  |  |  |
| 7) Claim(s) is/are objected to.  |   |                       |  |  |  |  |
| 8) Claim(s) <u>1-38</u> are subject to restriction and/or election requirement.  |   |                       |  |  |  |  |
| Application Papers   |   |                       |  |  |  |  |
| 9)☐ The specification is objected to by the Examiner.  |   |                       |  |  |  |  |
| 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.   |   |                       |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |   |                       |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |                       |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |                       |  |  |  |  |
| Priority under 35 U.S.C. § 119   |   |                       |  |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |   |                       |  |  |  |  |
| Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date   | 4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other: | te                    |  |  |  |  |

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## **DETAILED ACTION**

## Election/Restrictions

Restriction is required under 35 U.S.C. 121 and 372.

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 CFR 1.499, applicant is required, in reply to this action, to elect a single invention to which the claims must be restricted.

Group 1, claim(s) 1-32, drawn to a biochip.

Group 2, claim(s) 33-38, drawn to a method of fluid transfer.

The inventions listed as Groups 1 and 2 do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons:

The technical feature linking Groups 1 and 2 appears to be that they all relate to a biochip having a plurality of fluid holding areas and fluid separating means for preventing mixing of fluids in the holding areas until application of pressure.

However, Handique et al teach the biochip as claimed comprising fluid holding areas, fluid separating means such that application of pressure mixes the fluid to start a reaction between molecules in the separated fluids (Col. 42-44, Fig. 9). Therefore the technical feature linking Groups 1 and 2 does not constitute a special technical feature as defined by PCT Rule 13.2 because it does not define a contribution over the prior art.

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1.

The species are as follows:

First Species: the biochip comprising a micro-organism, Claims 14-21.

Second Species: the biochip comprising a nucleic acid, Claim 22.

Third Species: the biochip comprising a protein, Claims 2-23.

Applicant is required, in reply to this action, to elect a single species to which the claims shall be restricted if no generic claim is finally held to be allowable. The reply must also

identify the claims readable on the elected species, including any claims subsequently added.

An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

Upon the allowance of a generic claim, applicant will be entitled to consideration of claims to additional species which are written in dependent form or otherwise include all the limitations of an allowed generic claim as provided by 37 CFR 1.141. If claims are added after the election, applicant must indicate which are readable upon the elected species. MPEP § 809.02(a).

The following claim(s) are generic: Claims 1-13, 24-38.

The species listed above do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons:

The species listed above are distinct because they each have significantly different structural, functional and chemical elements i.e. the micro-organisms, nucleic acids and proteins differ by structure, function and composition.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species or invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention or species may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse.

The examiner has required restriction between product and process claims. Where applicant elects claims directed to the product, and the product claims are subsequently found allowable, withdrawn process claims that depend from or otherwise require all the limitations of the allowable product claim will be considered for rejoinder. All claims directed to a

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nonelected process invention must require all the limitations of an allowable product claim for that process invention to be rejoined.

In the event of rejoinder, the requirement for restriction between the product claims and the rejoined process claims will be withdrawn, and the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. 101, 102, 103 and 112. Until all claims to the elected product are found allowable, an otherwise proper restriction requirement between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowable product claim will not be rejoined. See MPEP § 821.04(b). Additionally, in order to retain the right to rejoinder in accordance with the above policy, applicant is advised that the process claims should be amended during prosecution to require the limitations of the product claims. Failure to do so may result in a loss of the right to rejoinder. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the restriction requirement is withdrawn by the examiner before the patent issues. See MPEP § 804.01. Any inquiry concerning this communication or earlier communications from the examiner should be directed to BJ Forman whose telephone number is (571) 272-0741. The examiner can normally be reached on 6:00 TO 3:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ram Shukla can be reached on (571) 272-0735. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you

would like assistance from a USPTO Customer Service Representative or access to the

automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

BJ Forman Primary Examiner Art Unit 1634

/BJ Forman/ Primary Examiner, Art Unit 1634